## CITY OF MARYSVILLE AGENDA BILL

## **EXECUTIVE SUMMARY FOR ACTION**

CITY COUNCIL MEETING DATE: May 13, 2013

AGENDA ITEM:	
Local Agency Federal Aid Project Prospectus and Local Agenc	
WSDOT for the 53 <sup>rd</sup> Ave NE and SR528 Intersection Improver	nents Project
PREPARED BY:	DIRECTOR APPROVAL:
Jeff Laycock, Project Manager	VO HAL
DEPARTMENT:	X WV
Public Works, Engineering	
ATTACHMENTS:	
Vicinity Map	
D : (D ) (OC : T	

Project Prospectus and State Funding Agreement (2 Copies to Be Executed)

**BUDGET CODE:** AMOUNT:

305000030.563000, R0902 N/A

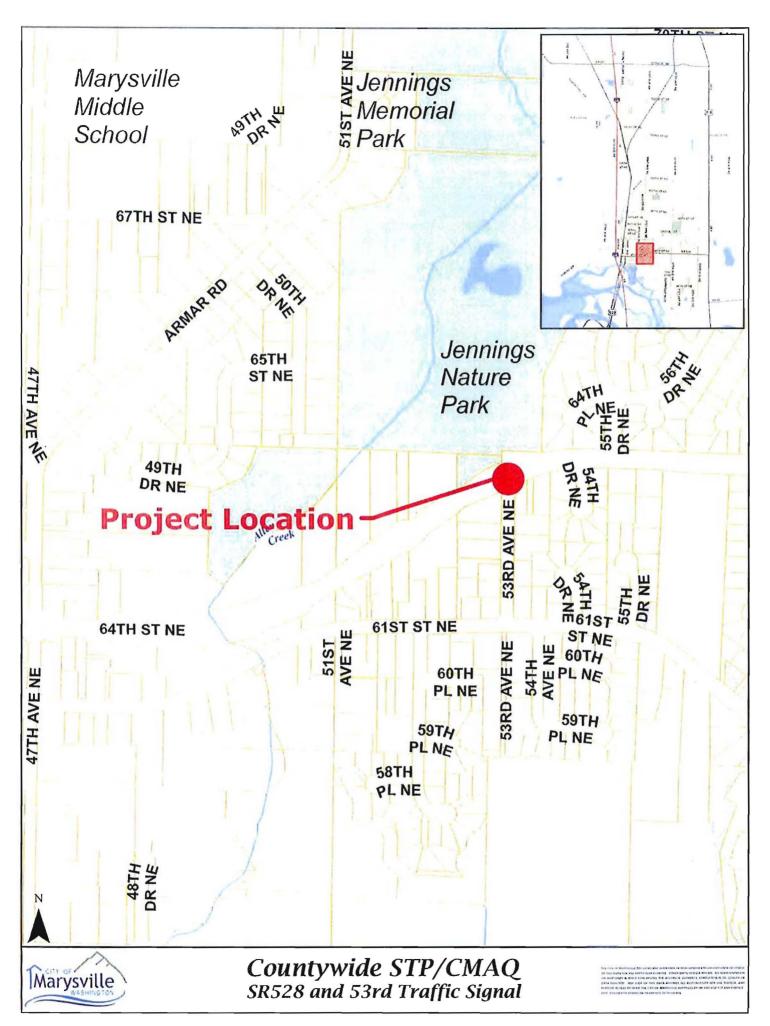
## **SUMMARY:**

The City was awarded \$225,000 in federal funding for the 53<sup>rd</sup> Ave NE and SR528 Intersection Improvements Project. The City's match requirement is \$50,000. The project will construct a new traffic signal at the intersection and modify the entry into Jennings Park.

Since this a federally funded project, the funds are administered through WSDOT and a Local Agency Agreement (agreement) and Project Prospectus (prospectus) is required in order to obligate funding. The agreement ensures that federal funds in the agreed upon amount are spent in accordance with all applicable laws and regulations. The prospectus serves as the support document for FHWA authorization of federal funds.

#### RECOMMENDED ACTION:

Staff recommends that Council authorize the Mayor to execute the Local Agency Federal Aid Project Prospectus and Local Agency Funding Agreement with WSDOT thereby securing funding for the 53<sup>rd</sup> Ave NE and SR528 Intersection Improvements Project.





## **Local Agency Agreement**

Agency	City of Marysville	CFDA No. 20		
Address	80 Columbia Ave		ral Domestic Assistance)	
Addiess	Marysville, WA 98270	— Project No.		
		— Agreement N	lo.	
			For OSC WSDOT Use	e Only
the regulations procedures proceedures proceed on the line r, column reimbursed by Project De Name 53:  Termini N/  Descriptic The prosignal	rd Ave NE / SR528 Intersection A on of Work oject will construct a new signal pole bases, mast arms, signal	Management and Budget portation, and (6) the federation State Department of the to be obligated for the phe approval of the Federational Agency.  In a parallat the in the signal caborals and the caborals.	Circulars A-102, and A ral aid project agreemen Transportation will authroject may not exceed that Highway Administrat  Length  tersection in tinetry, cross	-133, (5) the policies t entered into between orize the Local Agenc e amount shown herein ion. All project costs  N/A  ncluding swalks,
video d	detection, and a modified gate		Jenning's Par  Estimate of Funding	
	Type of Work	(1) Estimated Total Project Funds	(2) Estimated Agency Funds	(3) Estimated Federal Funds
PE	a. Agency			
%	b. Other			
	c. Other			
Federal Aid	d. State			
Participation Ratio for PE	e. Total PE Cost Estimate (a+b+c+d)			
Right of Wa				
%	g. Other			
	h. Other			
Federal Aid	i. State			
Participation Ratio for RW	j. Total R/W Cost Estimate (f+g+h+i)			
Constructio		\$275,000	\$50,000	\$225,000
2 2 cm 2 2 2 5 5 5	I. Other	-		
	m. Other			
	n. Other			
81.8%				
Federal Aid	o. Agency			
Participation Ratio for CN	p. State	\$275,000	\$50,000	\$225,000
	q. Total CN Cost Estimate (k+l+m+n+o+p)		\$50,000	\$225,000
A man are Of	r. Total Project Cost Estimate (e+j+q)	\$275,000		
Agency Of	riciai	wasnington State	Department of Train	isportation
Ву		Ву		
Title Mayo	PRAFT	Director of Highways and	d Local Programs	

Date Executed

## 

#### **Provisions**

## I. Scope of Work

The Agency shall provide all the work, labor, materials, and services necessary to perform the project which is described and set forth in detail in the "Project Description" and "Type of Work."

When the State acts for and on behalf of the Agency, the State shall be deemed an agent of the Agency and shall perform the services described and indicated in "Type of Work" on the face of this agreement, in accordance with plans and specifications as proposed by the Agency and approved by the State and the Federal Highway Administration.

When the State acts for the Agency but is not subject to the right of control by the Agency, the State shall have the right to perform the work subject to the ordinary procedures of the State and Federal Highway Administration.

## II. Delegation of Authority

The State is willing to fulfill the responsibilities to the Federal Government by the administration of this project. The Agency agrees that the State shall have the full authority to carry out this administration. The State shall review, process, and approve documents required for federal aid reimbursement in accordance with federal requirements. If the State advertises and awards the contract, the State will further act for the Agency in all matters concerning the project as requested by the Agency. If the Local Agency advertises and awards the project, the State shall review the work to ensure conformity with the approved plans and specifications.

#### III. Project Administration

Certain types of work and services shall be provided by the State on this project as requested by the Agency and described in the Type of Work above. In addition, the State will furnish qualified personnel for the supervision and inspection of the work in progress. On Local Agency advertised and awarded projects, the supervision and inspection shall be limited to ensuring all work is in conformance with approved plans, specifications, and federal aid requirements. The salary of such engineer or other supervisor and all other salaries and costs incurred by State forces upon the project will be considered a cost thereof. All costs related to this project incurred by employees of the State in the customary manner on highway payrolls and vouchers shall be charged as costs of the project.

#### IV. Availability of Records

All project records in support of all costs incurred and actual expenditures kept by the Agency are to be maintained in accordance with local government accounting procedures prescribed by the Washington State Auditor's Office, the U.S. Department of Transportation, and the Washington State Department of Transportation. The records shall be open to inspection by the State and Federal Government at all reasonable times and shall be retained and made available for such inspection for a period of not less than three years from the final payment of any federal aid funds to the Agency. Copies of said records shall be furnished to the State and/or Federal Government upon request.

#### V. Compliance with Provisions

The Agency shall not incur any federal aid participation costs on any classification of work on this project until authorized in writing by the State for each classification. The classifications of work for projects are:

- 1. Preliminary engineering.
- 2. Right of way acquisition.
- 3. Project construction.

In the event that right of way acquisition, or actual construction of the road, for which preliminary engineering is undertaken is not started by the closing of the tenth fiscal year following the fiscal year in which the agreement is executed, the Agency will repay to the State the sum or sums of federal funds paid to the Agency under the terms of this agreement (see Section IX).

The Agency agrees that all stages of construction necessary to provide the initially planned complete facility within the limits of this project will conform to at least the minimum values set by approved statewide design standards applicable to this class of highways, even though such additional work is financed without federal aid participation.

The Agency agrees that on federal aid highway construction projects, the current federal aid regulations which apply to liquidated damages relative to the basis of federal participation in the project cost shall be applicable in the event the contractor fails to complete the contract within the contract time.

## VI. Payment and Partial Reimbursement

The total cost of the project, including all review and engineering costs and other expenses of the State, is to be paid by the Agency and by the Federal Government. Federal funding shall be in accordance with the Federal Transportation Act, as amended, 2 CFR 225 and Office of Management and Budget circulars A-102 and A-133. The State shall not be ultimately responsible for any of the costs of the project. The Agency shall be ultimately responsible for all costs associated with the project which are not reimbursed by the Federal Government. Nothing in this agreement shall be construed as a promise by the State as to the amount or nature of federal participation in this project.

The Agency shall bill the state for federal aid project costs incurred in conformity with applicable federal and state laws. The agency shall minimize the time elapsed between receipt of federal aid funds and subsequent payment of incurred costs. Expenditures by the Local Agency for maintenance, general administration, supervision, and other overhead shall not be eligible for federal participation unless a current indirect cost plan has been prepared in accordance with the regulations outlined in 2 CFR 225 - Cost Principles for State, Local, and Indian Tribal Government, and retained for audit.

The State will pay for State incurred costs on the project. Following payment, the State shall bill the Federal Government for reimbursement of those costs eligible for federal participation to the extent that such costs are attributable and properly allocable to this project. The State shall bill the Agency for that portion of State costs which were not reimbursed by the Federal Government (see Section 1X).

#### 1. Project Construction Costs

Project construction financing will be accomplished by one of the three methods as indicated in this agreement.

Method A – The Agency will place with the State, within (20) days after the execution of the construction contract, an advance in the amount of the Agency's share of the total construction cost based on the contract award. The State will notify the Agency of the exact amount to be deposited with the State. The State will pay all costs incurred under the contract upon presentation of progress billings from the contractor. Following such payments, the State will submit a billing to the Federal Government for the federal aid participation share of the cost. When the project is substantially completed and final actual costs of the project can be determined, the State will present the Agency with a final billing showing the amount due the State or the amount due the Agency. This billing will be cleared by either a payment from the Agency to the State or by a refund from the State to the Agency.

Method B – The Agency's share of the total construction cost as shown on the face of this agreement shall be withheld from its monthly fuel tax allotments. The face of this agreement establishes the months in which the withholding shall take place and the exact amount to be withheld each month. The extent of withholding will be confirmed by letter from the State at the time of contract award. Upon receipt of progress billings from the contractor, the State will submit such billings to the Federal Government for payment of its participating portion of such billings.

**Method C** – The Agency may submit vouchers to the State in the format prescribed by the State, in duplicate, not more than once per month for those costs eligible for Federal participation to the extent that such costs are directly attributable and properly allocable to this project. Expenditures by the Local Agency for maintenance, general administration, supervision, and other overhead shall not be eligible for Federal participation unless claimed under a previously approved indirect cost plan.

The State shall reimburse the Agency for the Federal share of eligible project costs up to the amount shown on the face of this agreement. At the time of audit, the Agency will provide documentation of all costs incurred on the project.

The State shall bill the Agency for all costs incurred by the State relative to the project. The State shall also bill the Agency for the federal funds paid by the State to the Agency for project costs which are subsequently determined to be ineligible for federal participation (see Section 1X).

#### VII. Audit of Federal Consultant Contracts

The Agency, if services of a consultant are required, shall be responsible for audit of the consultant's records to determine eligible federal aid costs on the project. The report of said audit shall be in the Agency's files and made available to the State and the Federal Government.

An audit shall be conducted by the WSDOT Internal Audit Office in accordance with generally accepted governmental auditing standards as issued by the United States General Accounting Office by the Comptroller General of the United States; WSDOT Manual M 27-50, Consultant Authorization, Selection, and Agreement Administration; memoranda of understanding between WSDOT and FHWA; and Office of Management and Budget Circular A-133.

If upon audit it is found that overpayment or participation of federal money in ineligible items of cost has occurred, the Agency shall reimburse the State for the amount of such overpayment or excess participation (see Section IX).

#### VIII. Single Audit Act

The Agency, as a subrecipient of federal funds, shall adhere to the federal Office of Management and Budget (OMB) Circular A-133 as well as all applicable federal and state statutes and regulations. A subrecipient who expends \$500,000 or more in federal awards from all sources during a given fiscal year shall have a single or program-specific audit performed for that year in accordance with the provisions of OMB Circular A-133. Upon conclusion of the A-133 audit, the Agency shall be responsible for ensuring that a copy of the report is transmitted promptly to the State.

#### IX. Payment of Billing

The Agency agrees that if payment or arrangement for payment of any of the State's billing relative to the project (e.g., State force work, project cancellation, overpayment, cost ineligible for federal participation, etc.) is not made to the State within 45 days after the Agency has been billed, the State shall effect reimbursement of the total sum due from the regular monthly fuel tax allotments to the Agency from the Motor Vehicle Fund. No additional Federal project funding will be approved until full payment is received unless otherwise directed the Director of Highways and Local Programs.

# X. Traffic Control, Signing, Marking, and Roadway Maintenance

The Agency will not permit any changes to be made in the provisions for parking regulations and traffic control on this project without prior approval of the State and Federal Highway Administration. The Agency will not install or permit to be installed any signs, signals, or markings not in conformance with the standards approved by the Federal Highway Administration and MUTCD. The Agency will, at its own expense, maintain the improvement covered by this agreement.

## XI. Indemnity

The Agency shall hold the Federal Government and the State harmless from and shall process and defend at its own expense all claims, demands, or suits, whether at law or equity brought against the Agency, State, or Federal Government, arising from the Agency's execution,

performance, or failure to perform any of the provisions of this agreement, or of any other agreement or contract connected with this agreement, or arising by reason of the participation of the State or Federal Government in the project, PROVIDED, nothing herein shall require the Agency to reimburse the State or the Federal Government for damages arising out of bodily injury to persons or damage to property caused by or resulting from the sole negligence of the Federal Government or the State.

#### XII. Nondiscrimination Provision

No liability shall attach to the State or Federal Government except as expressly provided herein.

The Agency shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any USDOT-assisted contract and/or agreement or in the administration of its DBE program or the requirements of 49 CFR Part 26. The Agency shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of USDOT-assisted contracts and agreements. The WSDOT's DBE program, as required by 49 CFR Part 26 and as approved by USDOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the Agency of its failure to carry out its approved program, the Department may impose sanctions as provided for under Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S. C. 3801 et seq.).

The Agency hereby agrees that it will incorporate or cause to be incorporated into any contract for construction work, or modification thereof, as defined in the rules and regulations of the Secretary of Labor in 41 CFR Chapter 60, which is paid for in whole or in part with funds obtained from the Federal Government or borrowed on the credit of the Federal Government pursuant to a grant, contract, loan, insurance, or guarantee or understanding pursuant to any federal program involving such grant, contract, loan, insurance, or guarantee, the required contract provisions for Federal-Aid Contracts (FHWA 1273), located in Chapter 44 of the Local Agency Guidelines.

The Agency further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: Provided, that if the applicant so participating is a State or Local Government, the above equal opportunity clause is not applicable to any agency, instrumentality, or subdivision of such government which does not participate in work on or under the contract.

The Agency also agrees:

- (1) To assist and cooperate actively with the State in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and rules, regulations, and relevant orders of the Secretary of Labor.
- (2) To furnish the State such information as it may require for the supervision of such compliance and that it will otherwise assist the State in the discharge of its primary responsibility for securing compliance.
- (3) To refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, government contracts and federally assisted construction contracts pursuant to the Executive Order.
- (4) To carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon contractors and subcontractors by the State, Federal Highway Administration, or the Secretary of Labor pursuant to Part II, subpart D of the Executive Order.

In addition, the Agency agrees that if it fails or refuses to comply with these undertakings, the State may take any or all of the following actions:

- (a) Cancel, terminate, or suspend this agreement in whole or in part;
- (b) Refrain from extending any further assistance to the Agency under the program with respect to which the failure or refusal occurred until satisfactory assurance of future compliance has been received from the Agency; and
  - (c) Refer the case to the Department of Justice for appropriate legal proceedings.

#### XIII. Liquidated Damages

The Agency hereby agrees that the liquidated damages provisions of 23 CFR Part 635, Subpart 127, as supplemented, relative to the amount of Federal participation in the project cost, shall be applicable in the event the contractor fails to complete the contract within the contract time. Failure to include liquidated damages provision will not relieve the Agency from reduction of federal participation in accordance with this paragraph.

#### XIV. Termination for Public Convenience

The Secretary of the Washington State Department of Transportation may terminate the contract in whole, or from time to time in part, whenever:

- (1) The requisite federal funding becomes unavailable through failure of appropriation or otherwise.
- (2) The contractor is prevented from proceeding with the work as a direct result of an Executive Order of the President with respect to the prosecution of war or in the interest of national defense, or an Executive Order of the President or Governor of the State with respect to the preservation of energy resources.
- (3) The contractor is prevented from proceeding with the work by reason of a preliminary, special, or permanent restraining order of a court of competent jurisdiction where the issuance of such order is primarily caused by the acts or omissions of persons or agencies other than the contractor.
  - (4) The Secretary determines that such termination is in the best interests of the State.

#### XV. Venue for Claims and/or Causes of Action

For the convenience of the parties to this contract, it is agreed that any claims and/or causes of action which the Local Agency has against the State of Washington, growing out of this contract or the project with which it is concerned, shall be brought only in the Superior Court for Thurston County.

# XVI. Certification Regarding the Restrictions of the Use of Federal Funds for Lobbying

The approving authority certifies, to the best of his or her knowledge and belief, that:

- (1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any federal agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit the Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, and contracts and subcontracts under grants, subgrants, loans, and cooperative agreements) which exceed \$100,000, and that all such subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification as a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**Additional Provisions** 



## Local Agency Federal Aid Project Prospectus

	Prefix		Route					Da	ate 4/	23/	2013
Federal Aid Project Num			Route		()		Central Registratio	Contrac n Exp.Da	1 () 4	/27/	2013
Local Agenc Project Num	y R0902			(	WSDOT \			il Employ ID Numb		-600	1459
Agency City of Marysville					Federal Program Title  20.205  Other						
Project Title					Start Lati	tude	N/A	St	art Long	itude	N/A
53rd Av	e NE / SR52	8 Interse	ction		End Latit			Er	nd Longit	ude	N/A
Project Termini From To Nearest City Name N/A Nearest City Name Marysville Project Zip Code 98270						ct Zip Code					
From:	To: Ler	gth of Project A				rd Ty Loca	pe Il 🔲 Local	Forces	☐ St	ate	☐ Railroad
Federal Age	ncy Others	City 0.7	Number 45	Cou 31	nty Numb		County Name Snohomis		N	VSDOT W	T Region
Congression Distric	al District	Legislative Dis	tricts		Urban A	rea N	Number	TMA PSI	A/MPO. RC	RTPO	)
Phase		Estimated Cost Funding Federal Funds				Phase Start Date					
P.E.	(Nearest Hundred \$0	Dollar) (N	earest Hund	rea L	ollar)	\$0	Nearest Hundre	ed Dollar)		Month	Year
R/W	\$0	\$(				\$0					
Const.	\$275,000		50,000		\$225,000				8	2013	
Total	\$275,000		50,000	)	\$225,000						
Descripti	on of Existing	Facility (Ex	sting Des	sign	and Pre	sent	Condition)				
Roadway Wi							anes (8), 3 (				
The eas	t-west legs	of the i	nterse	cti	on is	SR	528, a !	5-lan	e sta	te:	route.
The sou	th leg is 5	3rd Ave N	E, a c	011	ector	ar	terial v	with	3-lar	es.	The
north 1	eg is the e	ntrance i	nto Je	nni	ng's	Par	k. The	exis	ting	int	ersection
is stop sign controlled in the north-south legs. Pedestrian facilities											
include	sidewalks	and ADA c	omplia	nt	sidew	alk	ramps.				
	on of Propose										
Description of Proposed Work (Attach additional sheet(s) if necessary)  The project will construct a new signal at the intersection including											
signal pole bases, mast arms, signals, signal cabinetry, crosswalks,											
video detection, and a modified gated entry into Jenning's Park.											
	y Contact Person owling, PE		Title Cit	у Е	ngine	er			Pho:		363-8281
Mailing Addr 80 Colu	ess ımbia Ave			City Ma	rysvi	lle		State WA	Zip ( 982	Code 70	
Project Prospectus Approval											
Proj	ect Prospectus An	Project Prospectus Approval									
,	oot i Toopootao i ip	Title	;						)ate		

Agency	Project Title			Date				
Citý of Marysville	53rd Av	re NE / SR528 I	Intersection	4/23/2013				
Type of Proposed Work								
Project Type (Check all that Apply)			Roadway V	Vidth Number of Lanes				
	ath / Trail	□ 3-R	56 (SR					
Reconstruction Pe	34 733	14) 3 (3314)						
Railroad Pa	arking	☐ Other						
Bridge								
Geometric Design Data								
Description	Thr	ough Route		Crossroad				
		☑ Principal Arterial		Principal Arterial				
Federal		☐ Minor Arterial ☐ Collector		☐ Minor Arterial  ☐ Collector				
Functional		☐ Major Collector		☐ Major Collector				
Classification	Rural	☐ Minor Collector	Rural	Minor Collector				
	L IXuIai	Access Street/Road	LINUIAI	☐ Access Street/Road				
Terrain		Roll Mountain		Roll Mountain				
Posted Speed	35 MPH		25 MPH					
Design Speed	N/A N/A		N/A N/A					
Existing ADT Design Year ADT	N/A		N/A					
Design Year	N/A		N/A					
Design Hourly Volume (DHV)	N/A		N/A					
Performance of Work				<del></del>				
Preliminary Engineering Will Be Perform City	rmed By		Others 0	% Agency 100 %				
Construction Will Be Performed By			Contract	Agency				
Contractor			100	% 0 %				
Environmental Classification	on							
∑ Final ☐ Preliminary								
Class I - Environmental Impact State	ement (EIS)	X Class II - Ca	ategorically Excluded (C	E)				
Project Involves NEPA/SEPA	Section 404		cts Requiring Docume	ntation				
Interagency Agreement		(Docu	ımented CE)					
Class III - Environmental Assessment (EA)								
Project Involves NEPA/SEPA Section 404								
Interagency Agreement								
Environmental Considerations								
None.								

Agency	Project Title	/ 07500 7		Date 4 / 2 2 / 1 3			
City of Marysville	53rd Ave NE	/ SR528 Int	tersection	4/23/13			
Right of Way							
No Right of Way Required  * All construction required by the contract can be accomplished within the existing right of way.		of Way Required o Relocation	Relocatio	n Required			
Description of Utility Relocation or Adjustment No utility relocation is		Structures Involved	in the Project				
FAA Involvement	(2 miles) of the m						
Is any airport located within 3.2 kilometer	ers (2 miles) of the p	roposed project?	Yes 🗀	× No			
This project has been reviewed by the le			N 150 NOTE OF THE PERSON NAMED IN COLUMN NAMED	, or it's designee, and			
is not inconsistent with the agency's comprehensive plan for community development.							
	Agency	City of Ma	rysville				
Date	Ву		DRAFT Mayoff Chairpers	on			